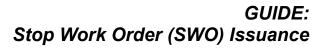


GUIDE: Stop Work Order (SWO) Issuance

DESCRIPTION: Below is a guide of when Full and Partial Stop Work Orders may be issued.

Description of Condition	Section(s) of Law	Full	Partial
Inspector is denied access.	AC 28-116.1	✓	
Work without required permit or license/permit or license expired.	AC 28-105.1/28-401.4	✓	
Not meeting conditions of NB permit	AC 28-105.1	✓	
Expired Insurance	AC 28-401.9	✓	
Construction documents are inadequate/missing essential detail or signatures/not on site at the time of inspection.	AC 28-120.1; BC 1704/BC 1603		✓
Required licensing/registration indicated to ensure safety is not present per construction documents.	AC 28-104.2.2		✓
Work contrary to plans or approved drawings.	AC 28-105.12.2		✓
Failure to provide drawings at the time of inspection	AC 28-104.2.2		✓
Failure to file and/or follow Site Safety Plan.	AC 28-105.12.2/AC 28-105.12.8	✓	
LL196 requirements not fulfilled	BC 3321.1	✓	
Site Safety Log not on site	BC 3321.2		✓
Required Tenant Protection plan or Occupancy Protection plan not on file or not being followed.	AC 28-120.1/AC 28-105.12.2	√	
Exceeding the scope of work on an LAA (Limited Alteration Application)	AC 28-105.1		✓
LMP withdrawal from an application (usually sent by borough commissioner's office)	AC 28-105/28-408		✓
Work is performed outside of standard construction hours or, on the weekends - without an approved After Hours Variance (AHV) work permit.	AC 28-105.1	√	
Building is enlarged beyond what is allowed for the zoning district of the property.	ZR-Misc.	>	
15 Day Letter issued by BC	AC 28-104.2.10/AC 28-105.10	>	
Serious injury or Fatality as a result of construction activity	BC 3301.2	>	
Minor Injury caused by construction operations.	BC 3301.2		✓
Work resulting in a partial/full site collapse.	BC 3301.2	✓	
Signs of Structural Failure (bowing, bending).	BC 3301.2	✓	

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Description of Condition	Section(s) of Law	Full	Partial
Failure to safeguard public, persons and/or property	BC 3301.2	✓	
Construction equipment is being operated in an unsafe manner	BC 3301.2	✓	
Equipment required for the protections of workers, the public or adjacent properties not in place or not in use	BC 3301.2		✓
Damage or lack of safeguards to an adjacent property/missing roof/overhead protection.	BC 3301.2/BC 3309.1	✓	
Required monitoring of adjacent buildings not performed during excavation	BC 3301.2/BC 3309.4.4	✓	
Failure to reshore in proximity to facades.	AC 28-302.5	✓	
Failure to follow reshore schedule.	AC 28-105.12.2	✓	
Failure to adequately brace mason walls.	AC 28-302.1	✓	
Failure to provide washout box procedures	BC 3303.15		✓
Unsafe scaffold design or construction.	BC 3314.1	✓	
Scaffold not erected or maintained safely/Missing required SWS	BC 3307.1/BC 3307.6.2		✓
Required fire suppression system on-site is missing or inadequate.	AC 28-301.1	✓	
Use of open flame without fireguards, FDNY Certificate of Fitness and/or Fire Extinguisher in place	BC 3301.1.2	✓	
Uninspected and Uncertified Gas work is ongoing or involved.	FGC 406.2	✓	
Gas piping installed in prohibited locations	FGC 406.2		✓
Severe compromise (corrosion, holes, etc.) of the flue	BC 3301.2 & AC 28-301.1	✓	
High carbon monoxide	BC 3301.2 & AC 28-301.1	✓	
Safety relief valve missing/inoperative	AC 28-301.1		✓
Boiler vessel leaking	AC 28-301.1	✓	
Boiler leaking fuel	AC 28-301.1	✓	
Chimney or vent blocked	AC 28-301.1	✓	
Missing/inoperative boiler safety controls	AC 28-301.1	✓	
Failure to provide required samples	BC 1905.6.3.3		✓
Elevator not maintained	AC 28-304.1	✓	



GUIDE: Stop Work Order (SWO) Issuance

Description of Condition	Section(s) of Law	Full	Partial
Special inspector failed to perform or inadequately performed inspections/missing inspection documents	BC 1704.1.2	✓	
Failure to maintain Housekeeping.	BC 3303.4 & AC 27-1018		✓
A permit holder or person directly in charge of any suspended scaffold supported by c-hooks or outrigger beams fails to notify the department prior to the installation or use of such equipment as required by section 3314.1.1 of the New York City Building Code and either: 1. The rigger does not hold a license required by this Code, or 2. The workers lack certificates of fitness as required by this Code or applicable rule, or 3. The rigger failed to file with the department satisfactory evidence of insurance required by this Code.	AC 28-207.2.4.1	~	
Inadequate egress	BC 3301.2/BC 3303.9/BC 3307.2.4	✓	

NOTE: These are guidelines for when the Department may issue a Stop Work Order. This does not limit the Department's authority to issue Stop Work Orders under NYC Administrative Code Section 28-207.2. The information in this document is not intended as a substitute for any law, rule or regulation. The New York City Department of Buildings reserves the right to take action at variance with this document.

All work must stop to allow time to assess the safety risks the project poses when the following occurs:

- 1. When adjoining property/structures are significantly damaged.
- 2. When a member of the public or construction worker is injured
- 3. When material/debris falls outside the property lines of the project onto a public area.